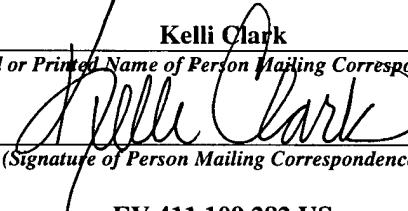


10-29-03

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b>				Docket No. 13768.409
Applicant(s): Fred W. Balsiger et al.				
Serial No. 10/609,348	Filing Date 06/24/2003	Examiner Unassigned	Group Art Unit 2173	
Invention: BEHAVIOR ARCHITECTURE FOR COMPONENT DESIGNERS 				
I hereby certify that the following correspondence:				
<b>Transmittal Letter (2 pgs, in triplicate); Supplemental Information Disclosure Statement (2 pgs); Form PTO-1449 Listing all Cited References (1 pg); Legible Copies of two (2) Cited References; postcard; and Certificate of Express Mailing Label No. EV 411 109 282 US.</b>				
<i>(Identify type of correspondence)</i>				
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on				
<u>October 28, 2003</u> <i>(Date)</i>				
<u>Kelli Clark</u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i>				
 <i>(Signature of Person Mailing Correspondence)</i>				
<u>EV 411 109 282 US</u> <i>("Express Mail" Mailing Label Number)</i>				
Note: Each paper must have its own certificate of mailing.				

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
13768.409

In Re Application Of: **Fred W. Balsiger et al.**



Serial No.  
10/609,348

Filing Date  
06/27/2003

Examiner  
Unassigned

Group Art Unit  
2173

Title: **BEHAVIOR ARCHITECTURE FOR COMPONENT DESIGNERS**

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

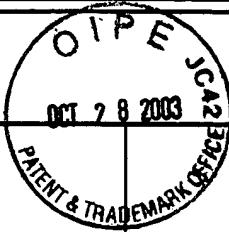
**OR**

the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
 (Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
 13768.409

In Re Application: **Fred W. Balsiger et al.**



Serial No.

10/609,348

Filing Date

06/27/2003

Examiner

Unassigned

Group Art Unit

2173

**BEHAVIOR ARCHITECTURE FOR COMPONENT DESIGNERS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of \_\_\_\_\_ is attached.

The Director is hereby authorized to charge and credit Deposit Account No. **23-3178** as described below.

Charge the amount of \_\_\_\_\_

Credit any overpayment.

Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

\_\_\_\_\_  
 (Date)

\_\_\_\_\_  
 Signature

\_\_\_\_\_  
 Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

\_\_\_\_\_  
 Signature of Person Mailing Correspondence

\_\_\_\_\_  
 Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Eric M. Kamerath  
 Signature

Dated: **October 28, 2003**

**ERIC M. KAMERATH**

Registration No. 46,081

Customer No. 022913

CC:

EXPRESS MAIL LABEL NO.: EV 411 109 282 US

PATENT APPLICATION  
Docket No.: 13768.409



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Fred W. Balsiger et al.

Serial No.: 10/609,348 ) Art Unit  
Filed: June 27, 2003 ) 2173  
Confirmation No.: 8232 )  
For: BEHAVIOR ARCHITECTURE FOR )  
COMPONENT DESIGNERS )  
Examiner: Unassigned )  
Customer No.: 022913 )

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Dated this 28<sup>th</sup> day of October, 2003.

Respectfully submitted,



ERIC M. KAMERATH  
Registration No. 46,081  
Customer No. 022913

EMK:kc  
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